DT03 Rec'd PCT/PTG 1 FEB 2005



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Subst PTO-	titute for 1390	Form U.S. DEPARTMENT OF COMM!	ERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER						
		TRANSMITTAL LETTER TO		034100-003						
		DESIGNATED/ELECTED C CONCERNING A FILING U		U.S. APPLICATION NO. (If known, see 37 CFR 1.5)						
INT	FRN	ATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	10/500,841						
		PCT/JP2002/013879	27 December 2002 (27.12.2002)	09 January 2002 (09.01.2002)						
TITL	E O	FINVENTION								
~:	VO	OOM TRANSFERACE ONT V	THAN AND NEOVACOULA DIZATION A	**************************************						
GL	YC	JSYLIKANSFERASE GNI-V	HAVING NEOVASCULARIZATION A	(CTION (AS AMENDED)						
APF	PLIC	ANT(S) FOR DO/EO/US								
Na	oyu	ki TANIGUCHI et al.								
_										
			es Designated/Elected Office (DO/EO/US) the fo	ollowing items and other information:						
1.	_		concerning a filing under 35 U.S.C. 371.							
2.	=		T submission of items concerning a filing under							
3.	L	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below.								
4.		The US has been elected by the expi	iration of 19 months from the priority date (Artic	le 31).						
5.		A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))							
		a. is attached hereto (required o	only if not communicated by the International Bu	ureau).						
		b. has been communicated by t	the International Bureau.							
		c.	ation was filed in the United States Receiving O	Office (RO/US).						
6.		An English language translation of the	e International Application as filed (35 U.S.C. 3	71(c)(2))						
		a. is attached hereto.								
		b. has been previously submitte	ed under 35 U.S.C. 154(d)(4).							
7.			ernational Application under PCT Article 19 (35							
		a. are attached hereto (required	d only if not communicated by the International	Bureau).						
		b. have been communicated by								
		c. have not been made; however	er, the time limit for making such amendments l	has NOT expired.						
	_	d. have not been made and will	not be made.							
8.			e amendments to the claims under PCT Article	19 (35 U.S.C. 371(c)(3)).						
		An oath or declaration of the inventor								
10.	X	An English language translation of the PCT Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary Exam	nination Report under						
	Item	ns 11 to 21 below concern documen	nt(s) or information included:							
11.		An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.							
12.	×	An assignment document for recording	ng. A separate cover sheet in compliance with	37 CFR 3.28 and 3.31 is included.						
13.		A FIRST preliminary amendment.								
14.	X	A SECOND or SUBSEQUENT prelim	ninary amendment.							
15.		A substitute specification.								
16.		A change of power of attorney and/or	r address letter.							
17.	×	A computer-readable form of the seq	uence listing in accordance with PCT Rule 13te	er.2 and 37 C.F.R. 1.821 - 1.825.						
		•	national application under 35 U.S.C. 154(d)(4).							
19.			ge translation of the international application un	nder 35 U.S.C. 154(d)(4).						
20.	×	Other items or information: 1) Form	n PCT/IB/338; 2) Paper copy of Sequence Listin	ng (included with the Preliminary						
		Amendment), 3) Declaration Pursuan	nt to 37 C.F.R. Sections 1.821-1.825, and 4) Co	py of Notice mailed on December 15, 2004.						

	٠ ز	10/500,841	300 37 OFR (.0) HVI		FIONAL APPLICATION N PCT/JP2002/013879	· ·		NEY'S DOCKE 03410			
21.	×	Applicant(s) requests th information: _Suntory L			ion include the following	assignment		CALCULATI	ONS	PTO USE ONLY	
22.	×	The following fees are s									
	Bas	ic Filing Fee (1631)		\$ (0.00						
	Surc	charge of \$130.00 (1617 ths from the earliest clain) for furnishing the ned priority date (3	oath o	or declaration later than 3.1.492(e)).	2 0	X 30	\$ 130	0.00		
		CLAIMS	NUMBER FILI		NUMBER EXTRA	RAT	E	\$			
Tot		l Claims		-20 =	0	× \$50.00 ((1615)	\$ (0.00		
	Inde	pendent Claims		-3=	0	× \$200.00	(1614)	\$ (0.00	100	
	MUL	TIPLE DEPENDENT CL	AIM(S) (if applicat	le)		+ \$360.00	(1616)				
	Exar	mination Fee				+ \$200.00		\$ (0.00		
	Sear	ch Fee				+ \$500.00	(1632)	\$ (0.00		
	Арр.	Size Fee (add \$250.00	for each add'I 50 s	heets	exceeding 100 sheets)						
			TIONS =	\$ 130	0.00						
		Applicant claims small e are reduced by 1/2.	ntity status. See 3	7 CFF	R 1.27. The fees indicate	ed above	+	\$ (0.00		
						SUB	TOTAL =	\$ 130	0.00		
		essing fee of \$130.00 (ths from the earliest clair			English translation later th	nan 🔲 20	30	\$ (0.00		
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	d. [Charge									
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		ns, Doane, Swecke). Box 1404	r & Mathis, L.L	.P.		SIGNAT	URE	1			
	Ale	xandria, Virginia 22 3) 836-6620	313-1404			NAME		Susan M. D	adio	·	
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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office: Address COMMISSIONER FOR PATENTS PO. Doz 1459 Alexandria, Vinginia 22313-1450 www.unplugov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/500,841 Naoyuki Taniguchi 034100-003

INTERNATIONAL APPLICATION NO. PCT/JP02/13879

21839 BURNS DOANE SWECKER & MATHIS L L P POST OFFICE BOX 1404 ALEXANDRIA, VA 22313-1404

 1A. FILING DATE
 PRIORITY DATE

 12/27/2002
 01/09/2002

CONFIRMATION NO. 7031 371 FORMALITIES LETTER

OC000000014760202

Date Mailed: 12/15/2004

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

Copy of the International Application filed on 07/07/2004

English Translation of the IA filed on 07/07/2004

- Copy of the International Search Report filed on 07/07/2004
- Copy of IPE Report filed on 07/07/2004
- Preliminary Amendments filed on 07/07/2004
- Information Disclosure Statements filed on 07/07/2004
- Biochemical Sequence Diskette filed on 07/07/2004
- Biochemical Sequence Listing filed on 07/07/2004
- U.S. Basic National Fees filed on 07/07/2004
- Priority Documents filed on 07/07/2004

3FC 17 2004

DEC 22 2004 000 CR

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

M, D

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of Annex C of the Administrative Instructions and 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

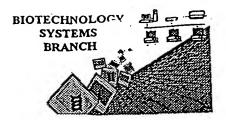
VONDA M WALLACE

Telephone: (703) 305-3736

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/500,841	РСТ/ЈР02/13879	034100-003

FORM PCT/DO/EO/905 (371 Formalities Notice)



RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source:

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE SEE-BELOW FOR ADDRESS

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual - ePAVE)

2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):
U.S. Patent and Trademark Office, 220 20th Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202

Revised 05/17/04

MAIL this copy with 9



PCT

RAW SEQUENCE LISTING DATE: 07/15/2004
PATENT APPLICATION: US/10/500,841 TIME: 10:17:00

5 <120> TITLE OF INVENTION: Glycosyltransferase GnT-V having neovascularization action

Input Set : A:\PTO.FG.txt

9 <140> CURRENT APPLICATION NUMBER: US/10/500,841

9 <150> PRIOR APPLICATION NUMBER: PCT/JP02/13879

<141> CURRENT FILING DATE: 2004-07-07

3 <110> APPLICANT: SUNTORY LIMITED

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RAW SEQUENCE LISTING DATE: 07/15/2004
PATENT APPLICATION: US/10/500,841 TIME: 10:17:00

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158\cga gtc ctt gat tca ttt ggt act gaa ccc gaa ttt aat cat gca aat

RAW SEQUENCE LISTING

DATE: 07/15/2004 TIME: 10:17:00

PATENT APPLICATION: US/10/500,841

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160	375					380					385					390	1	
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163	Tyr	Ala	Gln	Ser	Lys	Gly	His	Lys	Thr	Pro	Trp	Gly	Lys	Trp	Asn	Leu	- 1	
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211		GIII	585	GIU	vaı	GIU	Asp	590	vai	пуъ	АІа	116	595	ASII	Gin	цуз	1	
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RAW SEQUENCE LISTING

DATE: 07/15/2004

PATENT APPLICATION: US/10/500,841

TIME: 10:17:00

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Output Set: N:\CRF4\07152004\J500841.raw

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                                                   (223> is present,
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VERIFICATION SUMMARY DATE: 07/15/2004
PATENT APPLICATION: US/10/500,841 TIME: 10:17:01

Input Set : A:\PTO.FG.txt

Output Set: N:\CRF4\07152004\J500841.raw

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:9 M:271 C: Current Filing Date differs, Replaced Current Filing Date
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::26 M:283 W: Missing Blank Line separator, <400> field identifier
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::57 M:310 E: (3) Wrong or Missing Sequence Type, TYPE:
:59 M:283 W: Missing Blank Line separator, <400> field identifier
::60 M:112 C: (48) String data converted to lower case, U
1:112 Repeated in SeqNo=6
::232 M:283 W: Missing Blank Line separator, <400> field identifier
::238 M:310 E: (3) Wrong or Missing Sequence Type, TYPE:
::240 M:283 W: Missing Blank Line separator, <220> field identifier
::242 M:283 W: Missing Blank Line separator, <400> field identifier
::242 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:8,Line#:241 4
::243 M:112 C: (48) String data converted to lower case/
::247 M:310 E: (3) Wrong or Missing Sequence Type, TYPE:
::249 M:283 W: Missing Blank Line separator, <220> field identifier
::251 M:283 W: Missing Blank Line separator, <400> field identifier
::251 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:9,Line#:250 L
::252 M:112 C: (48) String data converted to lower case
::258 M:283 W: Missing Blank Line separator, <400> field identifier
::267 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:11 -
::267 M:283 W: Missing Blank Line separator, <400> field identifier
.: 276 M: 200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#: 12 -
.:276 M:283 W: Missing Blank Line separator, <400> field identifier
.:284 M:283 W: Missing Blank Line separator, <400> field identifier /
```

(Sample of submitted error)

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<210> 13 <211> 5 <212> PRT <213> Homo sapiens <400> 13 His Phe Thr Ile Gln 1 5

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